

09-28-01

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PTO/SB/05 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. **47079-0105**

First Inventor

Salah Obied

Title

**METHOD AND APPARATUS FOR GENERATING REAL-TIME  
EMBEDDED SOFTWARE FOR A CHANCE GAME**

Express Mail Label No.

**EH323733546US filed September 27, 2001**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231**

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 31]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D Invention
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 8]
5. ☒ Oath or Declaration [Total Pages 6]
  - a. ☒ Newly executed (original or copy)  
Two submitted (partially executed)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

**Accompanying Application Parts**

9. ☒ Assignment Papers (Two cover sheets & two documents)
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

**17. If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_/\_\_\_\_, filed

Prior application Information: Examiner \_\_\_\_ Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☐ New correspondence address below

|                   |                                |           |                |                                   |                    |
|-------------------|--------------------------------|-----------|----------------|-----------------------------------|--------------------|
| NAME              | Michael J. Blankstein          |           |                |                                   |                    |
| ADDRESS           | WMS Gaming Inc.                |           |                |                                   |                    |
|                   | 800 South Northpoint Boulevard |           |                |                                   |                    |
| CITY              | Waukegan                       | STATE     | IL             | ZIP CODE                          | 60085              |
| COUNTRY           | USA                            | TELEPHONE | (773) 961-1480 | FAX                               | (773) 961-1237     |
| Name (Print/Type) | Michael J. Blankstein          |           |                | Registration No. (Attorney/Agent) | 37,097             |
| Signature         | <i>Michael Blankstein</i>      |           |                | Date                              | September 27, 2001 |

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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09/27/01  
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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

**Complete if Known**

|                        |                    |
|------------------------|--------------------|
| Application Number     |                    |
| Filing Date            | September 27, 2001 |
| First Named Inventor   | Salah Obied        |
| Examiner Name          |                    |
| Group Art Unit         |                    |
| Attorney Docket Number | 47079-0105         |

TOTAL AMOUNT OF PAYMENT (\$)**1,042****METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **232409/(0105)**Deposit Account Name **Williams Electronics Games Inc.**

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity        |          | Small Entity |          | Fee Description        | Fee Paid              |
|---------------------|----------|--------------|----------|------------------------|-----------------------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |                        |                       |
| 101                 | 710      | 201          | 355      | Utility filing fee     | 710                   |
| 106                 | 320      | 206          | 160      | Design filing fee      |                       |
| 107                 | 490      | 207          | 245      | Plant filing fee       |                       |
| 108                 | 710      | 208          | 355      | Reissue filing fee     |                       |
| 114                 | 150      | 214          | 75       | Provisional filing fee |                       |
| <b>SUBTOTAL (1)</b> |          |              |          |                        | <b>(\$)<b>710</b></b> |

**2. EXTRA CLAIM FEES**

|                           |           | Extra | Fee from below |    | Fee Paid |
|---------------------------|-----------|-------|----------------|----|----------|
| Total Claims              | 34 - 20 = | 14    | X              | 18 | = 252    |
| Independent Claims        | 3 - 3 =   | 0     | X              | 80 | = 0      |
| Multiple Dependent Claims |           |       | X              |    | =        |

| Large Entity        |          | Small Entity |          | Fee Description                                            | Fee Paid              |
|---------------------|----------|--------------|----------|------------------------------------------------------------|-----------------------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |                                                            |                       |
| 103                 | 18       | 203          | 9        | Claims in excess of 20                                     |                       |
| 102                 | 80       | 202          | 40       | Independent claims in excess of 3                          |                       |
| 104                 | 270      | 204          | 135      | Multiple dependent claim, if not paid                      |                       |
| 109                 | 80       | 209          | 40       | ** Reissue independent claims over original patent         |                       |
| 110                 | 18       | 210          | 9        | ** Reissue claims in excess of 20 and over original patent |                       |
| <b>SUBTOTAL (2)</b> |          |              |          |                                                            | <b>(\$)<b>962</b></b> |

\*\* or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Fee Code            | Large Fee (\$) | Small Fee Code | Small Fee (\$) | Fee Description                                                            | Fee                  |
|---------------------------|----------------|----------------|----------------|----------------------------------------------------------------------------|----------------------|
| 105                       | 130            | 205            | 65             | Surcharge - late filing fee or oath                                        |                      |
| 127                       | 50             | 227            | 25             | Surcharge - late provisional filing fee or cover sheet.                    |                      |
| 139                       | 130            | 139            | 130            | Non-English specification                                                  |                      |
| 147                       | 2,520          | 147            | 2,520          | For filing a request for ex parte reexamination                            |                      |
| 112                       | 920            | 112            | 920            | Requesting publication of SIR prior to Examiner action                     |                      |
| 113                       | 1,840          | 113            | 1,840          | Requesting publication of SIR after Examiner action                        |                      |
| 115                       | 110            | 215            | 55             | Extension for reply within first month                                     |                      |
| 116                       | 390            | 216            | 195            | Extension for reply within second month                                    |                      |
| 117                       | 890            | 217            | 445            | Extension for reply within third month                                     |                      |
| 118                       | 1,390          | 218            | 695            | Extension for reply within fourth month                                    |                      |
| 128                       | 1,890          | 228            | 945            | Extension for reply within fifth month                                     |                      |
| 119                       | 310            | 219            | 155            | Notice of Appeal                                                           |                      |
| 120                       | 310            | 220            | 155            | Filing a brief in support of an appeal                                     |                      |
| 121                       | 270            | 221            | 135            | Request for oral hearing                                                   |                      |
| 138                       | 1,510          | 138            | 1,510          | Petition to institute a public use proceeding                              |                      |
| 140                       | 110            | 240            | 55             | Petition to revive - unavoidable                                           |                      |
| 141                       | 1,240          | 241            | 620            | Petition to revive - unintentional                                         |                      |
| 142                       | 1,240          | 242            | 620            | Utility issue fee (or reissue)                                             |                      |
| 143                       | 440            | 243            | 220            | Design issue fee                                                           |                      |
| 144                       | 600            | 244            | 300            | Plant issue fee                                                            |                      |
| 122                       | 130            | 122            | 130            | Petitions to the Commissioner                                              |                      |
| 123                       | 50             | 123            | 50             | Processing fee under 37 CFR 1.17(q)                                        |                      |
| 126                       | 180            | 126            | 180            | Submission of Information Disclosure Statement                             |                      |
| 581                       | 40             | 581            | 40             | Recording each patent assignment per property (times number of properties) | 80                   |
| 146                       | 710            | 246            | 355            | Filing a submission after final rejection (37 CFR 1.129(a))                |                      |
| 149                       | 710            | 249            | 355            | For each additional invention to be examined (37 CFR 1.129(b))             |                      |
| 179                       | 710            | 279            | 355            | Request for Continued Examination (RCE)                                    |                      |
| 169                       | 900            | 169            | 900            | Request for expedited examination of a design application                  |                      |
| Other fee (specify) _____ |                |                |                |                                                                            |                      |
| <b>SUBTOTAL (3)</b>       |                |                |                |                                                                            | <b>(\$)<b>80</b></b> |

\* Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

Complete (if applicable)

|                       |                              |                                   |               |           |                           |
|-----------------------|------------------------------|-----------------------------------|---------------|-----------|---------------------------|
| Typed or Printed Name | <b>Michael J. Blankstein</b> | Registration No. (Attorney/Agent) | <b>37,097</b> | Telephone | <b>(773) 961-1480</b>     |
| Signature             | <i>Michael Blankstein</i>    |                                   |               | Date      | <b>September 27, 2001</b> |